

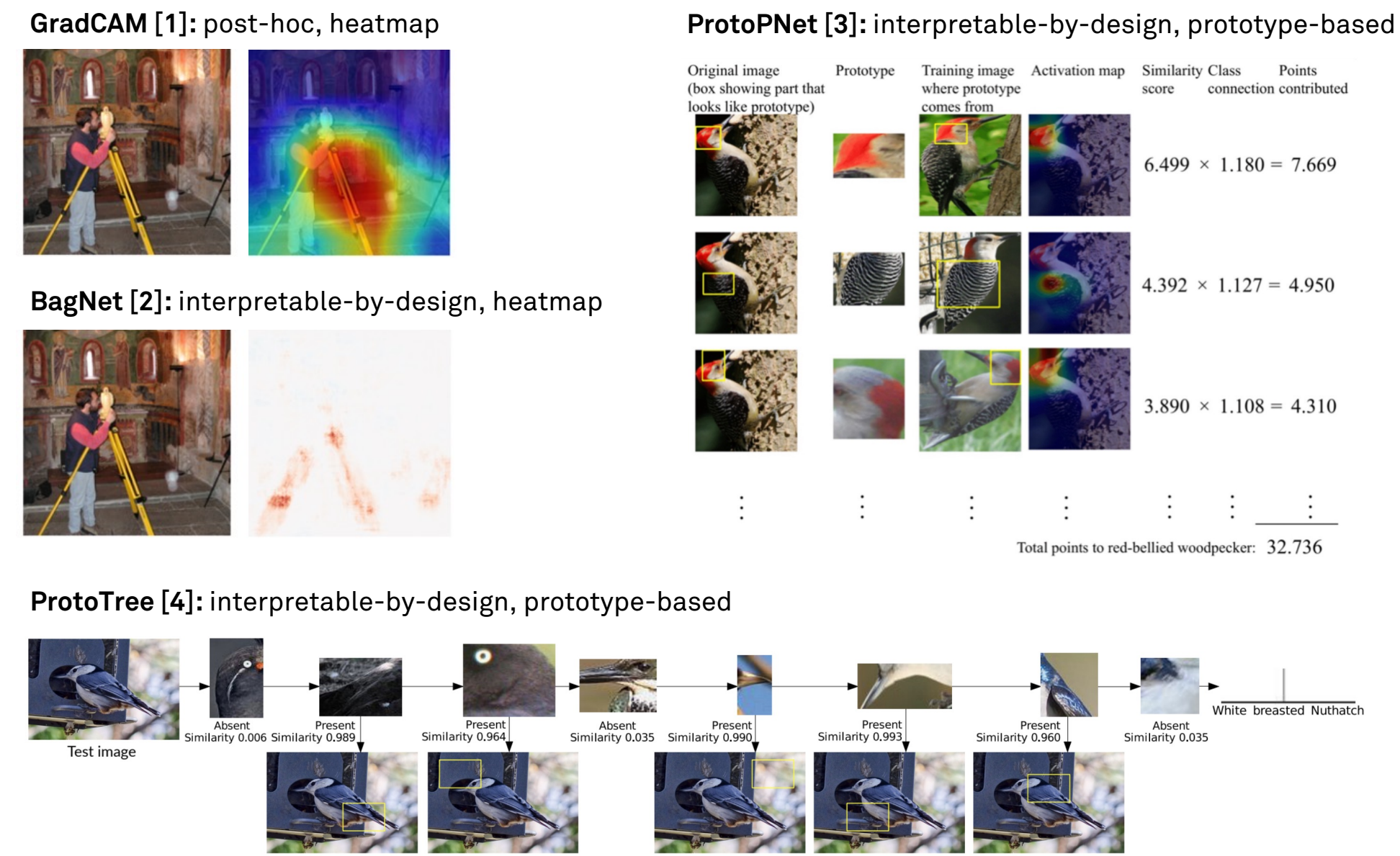
# HIVE: Evaluating the Human Interpretability of Visual Explanations

Sunnie S. Y. Kim, Nicole Meister, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, Princeton University



## Overview

- Despite the growth of the interpretability/XAI field, **evaluating interpretability methods** remains a challenge, particularly due to the diverse range of proposed explanation types.

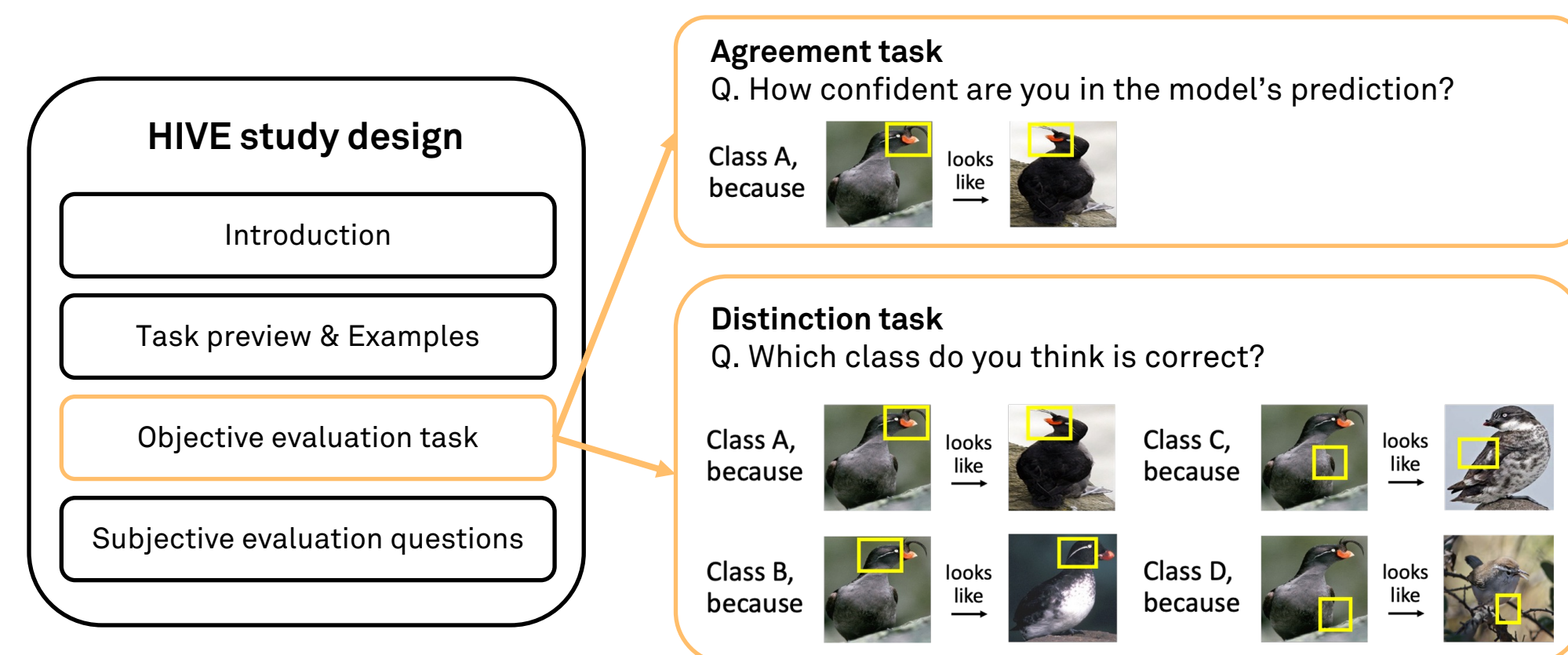


### Our contributions:

- We present HIVE (Human Interpretability of Visual Explanations), a novel human evaluation framework for visual interpretability methods.
- We demonstrate HIVE's effectiveness and usefulness for evaluating a variety of interpretability methods, and open-source our UI code.
- We are the first to investigate the utility of visual explanations in distinguishing correct and incorrect predictions, conduct human studies for interpretable-by-design models, and study how users trade off interpretability and accuracy.

## HIVE study design

- HIVE was designed to enable **cross-method comparison** by evaluating a variety of interpretability methods on a common task.
- For **human-centered evaluation**, we design these tasks to measure the utility of explanations to human task in AI-assisted decision making scenarios.
- These objective evaluation tasks enable **falsifiable hypothesis testing** about whether a given method has a certain property.



## Evaluation UI examples

### UI for ProtoPNet [3] agreement study

**Task: Rate the similarity of each row's prototype-region pair on a scale of 1-4.**  
(1: Not similar, 2: Somewhat not similar, 3: Somewhat similar, 4: Similar)

The model predicts **Species 2** for this photo. Shown below is the model's explanation for its prediction (all prototypes and their source photos are from **Species 2**).

**Q. What do you think about the model's prediction?**

Fairly confident that prediction is *correct*

Somewhat confident that prediction is *correct*

Somewhat confident that prediction is *incorrect*

Fairly confident that prediction is *incorrect*

### UI for GradCAM [1] distinction study

**Task: Select the class you think is correct.**  
For each photo, we show explanations for the model's 4 predictions.

**Q. Which class do you think is correct?**

1  2  3  4

## Experimental setup

- We conduct IRB-approved human studies of 4 methods that span the diversity of visual interpretability methods on CUB (birds) and ImageNet (objects) image classification tasks.
- We evaluate each method on the agreement and distinction tasks. Each study is completed by 50 participants recruited through Amazon Mechanical Turk.
- For each study, we report the mean accuracy and standard deviation of the participants' performance. We also compare the study result to random chance and compute the p-value from a 1-sample t-test.

## Key findings

- The **agreement** task results reveal an issue of **confirmation bias**: Participants tend to believe that a model prediction is correct when given an explanation for it.

Dataset	GradCAM [1]	BagNet [2]	ProtoPNet [3]	ProtoTree [4]
<b>CUB</b>				
Correct	72.4% ± 21.5%	<b>75.6% ± 23.4%</b>	73.2% ± 24.9%	66.0% ± 33.8%
Incorrect	32.8% ± 24.3%	42.4% ± 28.7%	<b>46.4% ± 35.9%</b>	37.2% ± 34.4%
<b>ImageNet</b>				
Correct	<b>70.8% ± 26.6%</b>	66.0% ± 27.2%		
Incorrect	<b>44.8% ± 31.6%</b>	35.6% ± 26.9%		

- How to read the numbers:** For GradCAM on CUB, participants thought 72.4% of correct predictions were correct and 100 - 32.8 = 67.2% of incorrect predictions were correct.

## Key findings (continued)

- The **distinction** task results reveal that participants struggle to identify the correct class based on explanations, especially when the model has made an incorrect prediction.

Method	GradCAM [1]	BagNet [2]	ProtoPNet [3]	ProtoTree [4]
<b>CUB</b>				
Correct	<b>71.2% ± 33.3%</b>	45.6% ± 28.0%	54.5% ± 30.3%	33.8% ± 15.9%
Incorrect	26.4% ± 19.8%	<b>32.0% ± 20.8%</b>		
<b>ImageNet</b>				
Correct	<b>51.2% ± 24.7%</b>	38.4% ± 28.0%		
Incorrect	<b>30.0% ± 22.4%</b>	26.0% ± 18.4%		

- How to read the numbers:** For GradCAM on CUB, participants were able to identify the correct class for 71.2% of the correct predictions and 26.4% of the incorrect predictions.
- For GradCAM [1] and BagNet [2], we also ask participants to select the class they think the model predicts (**output prediction task**) and find they struggle to identify the output based on explanations.

Dataset	CUB		ImageNet	
	GradCAM [1]	BagNet [2]	GradCAM [1]	BagNet [2]
Correct	<b>69.2% ± 32.3%</b>	50.4% ± 32.8%	<b>48.0% ± 28.3%</b>	46.8% ± 29.0%
Incorrect	<b>53.6% ± 27.0%</b>	30.0% ± 24.1%	<b>35.6% ± 24.1%</b>	34.0% ± 24.1%

- How to read the numbers:** For GradCAM on CUB, participants were able to identify the class the model predicted for 69.2% of the correct predictions and 53.6% of the incorrect predictions.
- For ProtoPNet [3] and ProtoTree [4], we ask participants to rate the similarity of prototype-image pairs and empirically confirm prior work's [4, 5] anecdotal observation that **prototype-based models' notion of similarity sometimes doesn't align with that of humans**.
- Finally, we study the **interpretability-accuracy tradeoff** participants are willing to make under different risk settings. On average, participants require a baseline model to have +6.2% higher accuracy for low-risk (e.g., scientific or educational purposes), +8.2% for medium-risk (e.g., biodiversity and ecosystem monitoring), and +10.9% for high-risk (e.g., veterinary science or medical diagnosis) settings, to use it over a model with explanations.

## More information

- Project page:** <https://princetonvisualai.github.io/HIVE/>
- Code:** <https://github.com/princetonvisualai/HIVE>

## References

- Selvaraju et al. "Grad-CAM: Visual Explanations from Deep Networks via Gradient-based Localization." ICCV 2017.
- Brendel & Bethge. "Approximating CNNs with Bag-of-Local-Features Models Works Surprisingly Well on ImageNet." ICLR 2019.
- Chen\*, Li\* et al. "This Looks Like That: Deep Learning for Interpretable Image Recognition." NeurIPS 2019.
- Nauta et al. "Neural Prototype Trees for Interpretable Fine-grained Image Recognition." CVPR 2021.
- Hoffmann et al. "This Looks Like That... Does it? Shortcomings of Latent Space Prototype Interpretability in Deep Networks." ICML Workshops 2021.